

Depletion of naive CD4 T cells by CXCR4-using HIV-1 variants occurs mainly through increased T-cell death and activation

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Objective: Using SCID-Hu mice models and *in vitro* culture systems, it has been shown that syncytium inducing/CXCR4 using (X4) HIV-1 variants affect thymic function through infection and killing of CXCR4 thymocytes. The effect of X4-emergence on naive, memory and effector T-cell subset kinetics *in vivo* is, however, not known.

Design: Prospective cohort study.

Methods: Analysis of changes in naive, memory and effector CD4 and CD8 T-cell numbers and cell division before and after the emergence of X4 variants.

Results: Significantly lower numbers of CD4 T cells in patients with X4 variants (n = 18) compared to patients with non-syncytium inducing/CCR5 using variants (n = 74) were due to increased loss of naive and CD27 memory CD4 T cells. In addition, emergence of X4 variants was associated with a small but significant decline in naive CD8 T-cell numbers and increased proportions of dividing CD4 and CD8 naive, memory and effector T cells.

Conclusion: Loss of naive T cells may suggest thymic dysfunction, however, such an effect would explain only part of the accelerated naive CD4 T-cell decline because of the longevity of naive T cells. Our data suggest that the accelerated naive CD4 T-cell decline induced by X4 variants is caused mainly by increased death and recruitment to the memory compartment of these cells.

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Introduction

Whereas primary HIV-1 infection is established by non-syncytium inducing/CCR5 using (R5) viruses, approximately half of all HIV infected patients experience emergence of syncytium inducing/CXCR4 using (X4) variants, which is associated with a more rapid decline in CD4 T cell numbers [1,2]. Recently, our laboratory showed that X4 viruses are able to establish

productive infection of peripheral blood naive CD4 T cells [3,4]. Whereas R5 viruses were predominantly isolated from patient CD4 memory T cells, X4 variants were distributed over naive and memory CD4 T cells [3]. X4 variants were therefore suggested to exercise their harmful effects through enhanced infection and killing of peripheral blood naive T cells, thereby also affecting their progeny, the CD4 memory T cells. In addition, given the tropism of X4 viruses for thymo-

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cytes [5–7], the deleterious effect of X4 variants could also be related to impairment of thymic function.

The extent to which X4 variants affect naive, memory and effector T-cell subset kinetics *in vivo* is not known. We therefore analysed changes in naive, memory and effector CD4 and CD8 T-cell numbers and division rates longitudinally at timepoints before and after HIV-1 seroconversion in patients harboring R5 variants only, and in patients who experienced conversion to X4 variants. Our results suggest that the accelerated CD4 T-cell decline associated with the emergence of X4 variants is related mainly to increased death and activation of naive T cells rather than to impairment of thymic function.

Materials and methods

Patients

We used cryopreserved peripheral blood mononuclear cells (PBMC) from 92 HIV-1 infected participants of the Amsterdam cohort studies on HIV-1 infection and AIDS. Patients were studied before HIV seroconversion and at 1 and 5 years after seroconversion for the effect of emergence of the X4 phenotype on the loss of naive, memory and effector CD4 and CD8 T cells and on cell division rates. Patient selection was based on the availability of cryopreserved samples at the indicated time points. All patients were initially infected with R5 variants. Emergence of X4 variants occurred in 18 out of these 92 patients during the study period. The mean age of patients who harbored R5 variants and patients who would develop X4 variants was 36 and 35 years, respectively, at the start of the study. None of the patients received highly active antiretroviral therapy at any time. Syncytium inducing X4 variants were detected by co-cultivation of patient PBMC with the MT2 cell line [8]. Cryopreservation was performed using a computerized freezing device that results in optimal quality of viably frozen cells for functional studies [9], and frozen PBMC were stored in liquid nitrogen.

Flow cytometry

CD4 and CD8 T cells were subdivided into naive (CD45RO⁻/CD27⁺), CD27 memory (CD45RO⁺/CD27⁺), CD27⁻ memory (CD45RO⁺/CD27⁻) and CD27⁻ effector (CD45RO⁻/CD27⁻) CD4 and CD8 T-cell subsets as described previously [10,11]. Ki67 is a protein that is expressed exclusively by cells that are in cell cycle [12]. For flow cytometric analysis of T-cell subset distribution and cell division, frozen PBMC were thawed and incubated with CD4- or CD8- peridinin chlorophyll A protein mAb, CD45RO-phycoerythrin (Becton Dickinson, San Jose, California, USA), biotinylated CD27 mAb (CLB,

Amsterdam, the Netherlands), and, after washing, with streptavidin-allophycocyanin mAb (Becton Dickinson). Lymphocytes were fixed (FACS Lysing Solution, Becton Dickinson), permeabilized (FACS Permeabilization Buffer, Becton Dickinson), and incubated with Ki67-fluorescein isothiocyanate mAb (Immunotech, Marseille, France). Cells were fixated using Cellfix (Becton Dickinson), and analysed on a FACSCalibur (Becton Dickinson) with Cellquest software.

Statistical analysis

Differences in viral load, T-cell numbers, and Ki67 expression between patients with R5 variants and patients with X4 variants were compared using non-parametric Mann–Whitney U Test or independent samples t Test, based on the Shapiro–Wilk W test for normality. Dependent samples were analysed using the Wilcoxon Signed Ranks Test, and correlations were estimated by calculating Spearman's correlation coefficients. *P* values < 0.05 were considered statistically significant.

Results

Effect of X4 variants on peripheral blood T-cell numbers

Ninety-two participants of the Amsterdam Cohort Studies on HIV-1 infection and AIDS were analysed for the effect of X4 variants on peripheral blood T-cell numbers and T-cell division. During the period under study (up to 5 years after HIV-1 seroconversion), 18 of these individuals experienced emergence of X4 variants and 74 did not. Before HIV-1 seroconversion, CD4 and CD8 T-cell numbers were comparable between the two groups (Table 1). One year after seroconversion, five patients had already experienced emergence of the X4 phenotype. At this timepoint, CD4 and CD8 T-cell numbers in these patients were lower than in patients with R5 variants and in patients who would experience emergence of the X4 phenotype during the period under study, but differences were not significant (Table 1). Within 5 years of seroconversion, X4 viruses had developed in 18 patients, 14 of whom were still alive. The median time between the first detection of X4 variants and evaluation of T-cell kinetics in these patients was 16 months (range, 6–54 months). Patients with X4 variants at this time point had significantly lower numbers of CD4 T cells compared with patients who harboured R5 variants (Table 1), confirming previous reports [1,2]. Increased CD4 T-cell loss in these patients was associated with a significant reduction of naive and CD27 memory CD4 T-cell numbers (mean values 166 ± 126 and $39 \pm 49 \times 10^6$ cells/l, $P < 0.001$, for naive CD4 T cells; 188 ± 71 and $67 \pm 51 \times 10^6$ cells/l, $P < 0.001$, for CD27 memory CD4 T cells; Fig. 1, left panel). No significant differ-

Table 1. Accelerated CD4 T-cell decline following X4 emergence. Shown are mean \pm SD CD4 and CD8 T-cell numbers ($\times 10^6/l$ blood) before (pre) and at 1 and 5 years after (post) HIV-1 seroconversion (SC) for patients with R5 variants only and for patients in whom X4 variants emerged during the study period (converters). For converters T-cell numbers before (R5) and after (X4) X4 emergence are shown.

	CD4			CD8		
	Pre SC	1 year post SC	5 years post SC	Pre SC	1 year post SC	5 years post SC
R5 only	880 \pm 356 (n = 44)	458 \pm 194 (n = 40)	658 \pm 321 (n = 44)	644 \pm 279 (n = 62)	840 \pm 484 (n = 62)	980 \pm 490 (n = 40)
Converters						
R5	792 \pm 418 (n = 12)	na	533 \pm 222 (n = 12)	589 \pm 266 (n = 13)	658 \pm 171 (n = 13)	na
X4	na	199 \pm 146* (n = 14)	na	390 \pm 239 (n = 5)	726 \pm 567 (n = 5)	802 \pm 266 (n = 14)

*Significantly lower than in R5 only patients at the same time point. na, Not applicable.

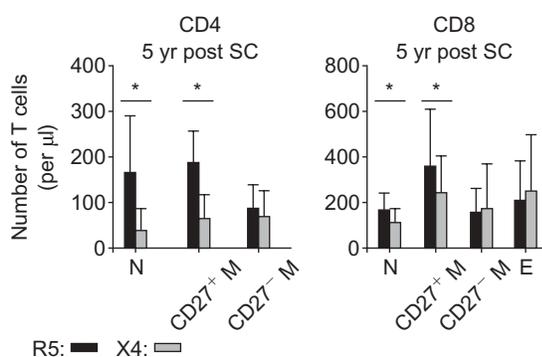


Fig. 1. Emergence of X4 variants was associated with an accelerated decline in naive and CD27 memory CD4 and CD8 T-cell numbers. Depicted are the number of naive, CD27 memory, CD27⁻ memory and effector CD4 and CD8 T cells as measured 5 years after seroconversion. N, Naive; CD27⁺M, CD27 memory; CD27⁻M, CD27⁻ memory; E, CD27⁻ effector; *, significant difference between R5 (n = 40) and X4 (n = 14) patient groups.

ences in total CD8 T-cell numbers were observed at this time point (Table 1); however, patients with X4 variants had significantly lower numbers of naive and CD27 memory CD8 T cells compared with patients harbouring R5 variants (mean values 165 ± 83 and $112 \pm 63 \times 10^6$ cells/l, $P < 0.05$, for naive CD8 T cells; 364 ± 250 and $244 \pm 166 \times 10^6$ cells/l, $P < 0.05$, for CD27 memory CD8 T cells; Fig. 1, right panel). Thus, patients with X4 variants showed an accelerated decline in naive T-cell numbers, that was most pronounced for the naive CD4 T cells. Whereas patients with R5 variants had equal numbers of naive CD4 T cells and of naive CD8 T cells 5 years after seroconversion, individuals in whom X4 variants developed had significantly lower numbers of naive CD4 T cells than of naive CD8 T cells at this time point ($P < 0.005$; Fig. 2a).

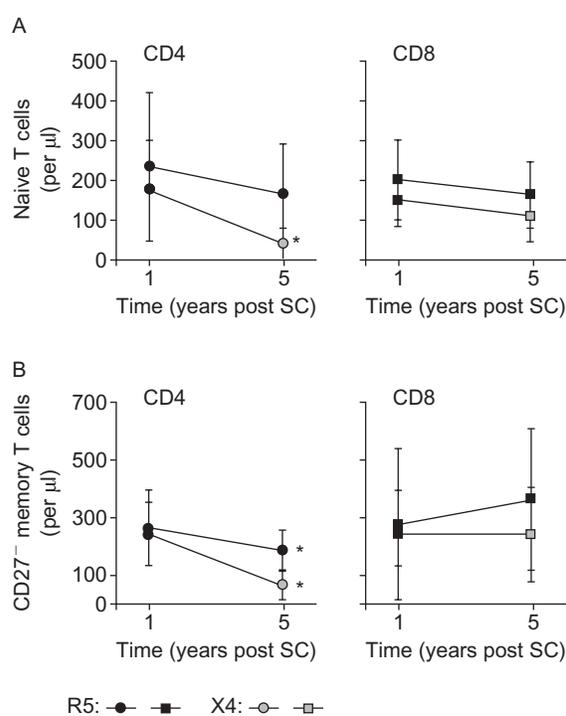


Fig. 2. (a) X4 related accelerated naive T-cell decline was more rapid in the CD4 T-cell pool compared with the CD8 T-cell pool. (b) Individuals experiencing emergence of X4 variants had a more pronounced decline in number of CD4CD27⁻ memory T cells compared with patients with R5 variants only. The latter group showed an expansion of the CD8CD27⁻ memory T-cell pool that was absent in patients harbouring the X4 phenotype. Depicted are mean (\pm SD) naive (a) and CD27⁻ memory (b) CD4 (circles) and CD8 (squares) T-cell numbers of patients with R5 variants (black symbols) and patients with X4 variants (grey symbols). See tables for patient numbers in each group. *, Number of T cells that is significantly lower in X4 patients compared with R5 patients; **, Number of CD4 T cells that is significantly lower than the number of CD8 T cells in the same patient group at that time point ($P < 0.05$).

Emergence of X4 virus was also associated with significantly lower numbers of CD27 memory CD4 and CD8 T cells (Fig. 1). Whereas the difference in number of CD4CD27 memory T cells was caused by a more rapid decline of these cells in patients with X4 variants, the difference in CD8CD27 memory T-cell numbers was related mainly to an expansion of this subset in patients with R5 variants that was absent in patients harbouring X4 virus (Fig. 2b).

Effect of X4 virus on cell division and plasma HIV-1 RNA

HIV-1 infection is associated with a several fold increase in CD4 and CD8 T-cell division rates [13–16]. In the first year after seroconversion Ki67 expression increased to the same extent both in patients with R5 variants and in those who at this time point harboured R5 variants only but in whom X4 viruses would develop later (Table 2). The five individuals in whom X4 viruses had developed already at this time point had significantly increased proportions of Ki67CD4 T cells compared with patients with R5 variants and patients who would later convert to the X4 virus phenotype, but not of Ki67CD8 T cells (Table 2). Five years after seroconversion, the proportion of dividing CD4 and CD8 T cells was significantly higher in patients with X4 variants (Table 2). At all time points, increased cell division was observed in naive, CD27 memory, CD27-memory and effector CD4 and CD8 T cells ($P < 0.05$; data not shown), and the proportion of Ki67CD4 T cells correlated significantly with the proportion of Ki67CD8 T cells ($P < 0.001$; data not shown). Five years after seroconversion, the number of naive CD4 and CD8 T cells correlated significantly with the proportion of Ki67 naive CD4 and naive CD8 T cells ($r, -0.678, P < 0.001$ and $r = -0.416, P = 0.001$, respectively; data not shown).

Plasma HIV-1 RNA was higher in patients who had experienced X4 emergence, but the difference with patients who harboured R5 variants only was not significant ($P > 0.05$; Table 3). Neither group showed

Table 3. HIV-1 plasma RNA was not significantly higher in X4 patients. Shown are mean \pm SD HIV-1 plasma RNA (log copies/ml) at 1 and 5 years post HIV-1 seroconversion (SC) for patients with R5 variants only and for patients in whom X4 variants emerged during the study period (converters). For converters, HIV-1 plasma RNA before (R5) and after (X4) X4 emergence is shown.

	1 year post SC	5 years post SC
R5 only	4.69 \pm 4.83 (n = 62)	4.64 \pm 4.69 (n = 40)
Converters		
R5	4.74 \pm 4.81 (n = 13)	na
X4	5.58 \pm 5.87 (n = 5)	5.12 \pm 5.41 (n = 14)

na, Not applicable.

a significant increase in plasma HIV-1 RNA with time (Table 3). Five years after seroconversion, the proportion of Ki67 expressing CD4 and CD8 T cells correlated significantly with plasma HIV-1 RNA ($r = 0.484$ and $r = 0.468, P < 0.002$, respectively; data not shown).

Discussion

In HIV-1 infection, the emergence of X4 HIV-1 variants is associated with a more rapid decline in CD4 T-cell numbers [1,2]. Because immature progenitor T cells in the thymus and mature naive T cells in the blood express the CXCR4 co-receptor [5,6,17,18], the deleterious effect of X4 variants may be related to cytopathic effects directed at mature naive CD4 T cells in the periphery, or at CD4 and CD8 T-cell precursors in the thymus, or both. It has been shown *in vitro* and in the SCID-hu Thy/Liv mouse model that X4 and R5 variants affect different thymocyte subsets, related to distinct co-receptor expression by maturing thymocytes [6,7,18,19]. Similarly, *in vitro* infection of mature peripheral blood T cells with R5 and X4 variants

Table 2. Increased Ki67 expression in patients with X4 variants. Shown are mean \pm SD proportions of Ki67CD4 and CD8 T cells before (pre) and at 1 and 5 years after (post) HIV-1 seroconversion (SC) for patients with R5 variants only and for patients in whom X4 variants emerged during the study period (converters). For converters, Ki67 expression before (R5) and after (X4) X4 emergence is shown.

	CD4			CD8		
	Pre SC	1 year post SC	5 years post SC	Pre SC	1 year post SC	5 years post SC
R5 only	2.7 \pm 1.8 (n = 44)	8.4 \pm 5.0 (n = 40)	2.1 \pm 1.8 (n = 44)	6.0 \pm 3.0 (n = 62)	6.4 \pm 3.7 (n = 62)	6.3 \pm 3.3 (n = 40)
Converters						
R5	2.5 \pm 0.8 (n = 12)	na	1.9 \pm 0.9 (n = 12)	5.5 \pm 2.2 (n = 13)	6.1 \pm 1.7 (n = 13)	na
X4	na	13.1 \pm 7.2* (n = 14)	na	8.9 \pm 2.6* (n = 5)	5.6 \pm 1.2 (n = 5)	9.2 \pm 4.4* (n = 14)

*Significantly higher than in R5 only patients at the same time point. na, Not applicable.

resulted in depletion of naive and memory CD4 T-cell subsets that corresponded with CXCR4 and CCR5 co-receptor expression [4,20]. *In vivo*, naive CD4 T cells were found to be exclusively infected by X4 variants whereas both R5 and X4 variants could be isolated from memory CD4 T cells in agreement with co-receptor expression by these subsets [3]. These and other studies suggest distinct effects of R5 and X4 variants on the composition of the T-cell pool, but no data on the *in vivo* effect of the emergence of X4 variants on naive and memory CD4 and CD8 T-cell numbers are available to date.

Here, we show in a cohort study that the more rapid CD4 T-cell decline in patients harbouring X4 variants was associated with a significant loss of naive and CD27 memory CD4 T cells. In addition, X4 emergence was associated with significantly lower numbers of naive and CD27 memory CD8 T cells. Whereas CD45RA can be re-upregulated on resting, antigen-experienced memory T cells, CD27 down-regulation upon stimulation is irreversible [21]. Therefore, we consider CD4 and CD8 T cells that are CD27+ and CD45RA+/CD45RO- as non-reversed truly naive T cells that have been newly generated. Accelerated loss of T cells following X4 emergence involved naive CD8 T cells and naive CD4 T cells. This suggests that depletion of both subsets could at least be partly driven by a common factor, such as X4 virus-related reduced naive T-cell production by the thymus. Although direct quantitative measurements of naive T-cell production and death rates are lacking thus far, these numbers can be estimated based on *in vivo* observations in healthy and T-cell depleted individuals [22]. With an estimated output of 10^7 – 10^8 naive T cells per day [22], thymic function is relatively low in healthy adults. Estimating a total body count of 10^{11} naive CD4 T cells [22], a daily production of 10^7 – 10^8 cells, and assuming that naive T-cell numbers are in steady state, the corresponding naive T-cell death rates are 0.0001–0.001 per day. After complete abrogation of thymic function, naive T-cell decline can be described as $N_t = N_0 e^{-dt}$, where N is the total body number of naive T cells and d is the death rate. Patients with X4 viruses showed a decline in peripheral blood naive T-cell numbers from 150 to 50×10^6 cells/l in the present study. For naive T-cell death rates of $d = 0.0001$ to $d = 0.001$ per day, this decline would take 3–30 years. Because the median time from X4 emergence to the moment of analysis, 5 years after seroconversion, in this study was only 16 months, impairment of thymic output cannot explain X4-related accelerated decline of naive T cells. Although the notion that X4-induced impairment of thymic function would affect peripheral blood naive T cell numbers only slowly is based merely on the above mentioned estimations for T-cell production and death rates, it is compatible with the observation that adult thymectomy does not lead to

a significant decline in CD4 T-cell numbers when measured 5 years later [23,24]. In addition, naive CD4 T-cell decline in patients with X4 viruses was much more pronounced than naive CD8 T-cell decline. As CXCR4 is expressed by triple-negative, immature single-positive and triple-positive thymocytes [25], it seems likely that X4 variants would affect maturing intrathymic CXCR4 progenitors of CD4 and CD8 T cells equally. The more rapid decline of naive CD4 T-cell numbers therefore demonstrated that additional factors play a role, such as direct infection and killing of CXCR4-positive naive CD4 T cells [3,4]. Indeed, emergence of X4 variants has been associated with a 10- to 100-fold increase in the number of productively infected naive CD4 T cells [3], which may explain the observed accelerated decline in naive CD4 T cell numbers *in vivo* (Fig. 2).

It has been argued that persistently increased immune activation may lead to depletion of naive CD4 and CD8 T cells [26,27]. Patients with X4 HIV-1 variants had indeed higher proportions of dividing naive, memory and effector CD4 and CD8 T cells that correlated with lower T-cell numbers. Previously, we have shown that increased cell division in HIV-1 infected individuals with low T-cell numbers is not a homeostatic response to T-cell depletion, but is mainly driven by continuous virus replication and high pro-inflammatory cytokine levels [14]. Thus, on a daily basis in patients with X4 variants increased numbers of naive T cells become activated and migrate to the memory/effector compartment. With time this will lead to a decline in naive T-cell numbers, because naive T-cell replacement in adults is very slow and may even be completely abrogated in patients with X4 variants. In addition, higher proportions of dividing T cells may facilitate HIV-1 infection, increasing the proportion of productively infected naive and memory T cells, and elevated levels of immune activation associated with the emergence of X4 variants may lead to increased tissue sequestration of lymphocytes.

Finally, the number of CD27 memory CD4 and CD8 T cells was also significantly lower in patients with X4 variants. The decline in CD4CD27 memory T cells may be related to the increased number of memory CD4 T cells that can become infected with the enlargement of the target cell population after X4 emergence [3,4]. In addition, depletion of naive CD4 and naive CD8 T cells may also be of relevance here, as these cells now are unable to generate their progeny, CD27 memory T cells [3].

In conclusion, emergence of X4 variants affected predominantly naive and CD27 memory CD4 T-cell subsets. Because X4-virus induced abrogation of thymic output would affect peripheral blood naive T-cell numbers only very slowly, an important aspect of X4

variants may be their capacity to infect and kill peripheral blood naive CD4 T cells. In addition, continuously increased levels of immune activation to a higher level in patients with X4 variants may lead to accelerated erosion of the naive T-cell pool. Because naive T cells are difficult to replace, loss of naive T cells will interfere with generation of progeny leading to more rapid naive and memory T-cell depletion [3,4,28].

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